

STAFF REPORT

SUBJECT: Federal Stimulus and State Prop. 1B Transit Capital Funding

MEETING DATE: April 16, 2009 **AGENDA ITEM:** 6K

STAFF CONTACT: Sarkes Khachek

RECOMMENDATION:

1. Receive an update on federal economic stimulus transit funding.
2. Approve the exchange of stimulus and Proposition 1B Transit Capital funds between SMOOTH and SMAT.
3. Approve submittal of applications to Caltrans for Proposition 1B transit capital population-based funding for 2008/09 Cycle 2.

SUMMARY:

The Federal Transit Administration (FTA) released final Economic Stimulus Transit apportionments on March 5th as identified in the American Recovery and Reinvestment Act (ARRA) of 2009. The funds were apportioned using formulas similar to the existing FTA 5307 Urbanized and FTA 5311 Non-Urbanized formula programs. The final combined stimulus apportionments for Santa Barbara County total \$8.485 million, which is \$444,000 less than the estimates that the SBCAG Board programmed in February. SBCAG has worked with transit operators to make modifications to stimulus funding allocated to projects to reflect the final figures, ensure that projects meet eligibility requirements, identify funding “exchanges” to better align projects and stimulus funding programs, all to make certain that stimulus funds may be authorized by federal deadlines. For example, since the board’s action in February, it has been determined that SMOOTH’s transit capital needs will not be eligible for economic stimulus funding. Therefore, SBCAG staff recommends that SMOOTH exchange the stimulus funds programmed by the board with the City of Santa Maria for state Proposition 1B Transit Capital funding available in FY 08/09.

For Fiscal Year 2008/09, the Santa Barbara County region will receive approximately \$2.4 million in transit capital funding from the State Proposition 1B Transit Capital Program. Of this, \$1.9 million is available for projects selected by SBCAG and \$518,000 for projects selected by transit operators. The funds allocated to agencies are a source of funding that can be expended on the purchase of buses, vans and development and construction of transit centers. Caltrans provided regional agencies and transit operators with two opportunities to submit projects for the Prop 1B transit funding for FY 2008/09. Applications for the first funding cycle were submitted to Caltrans in November 2008. Staff is recommending that, for cycle 2, the board approve \$863,000 in Prop 1B funding for projects nominated by transit operators.

DISCUSSION:

Final Transit Stimulus Apportionments

In February, the SBCAG Board programmed \$1.24 million of the estimated \$8.929 million in transit stimulus funds for 'off the top' projects for the Clean Air Express, Guadalupe Flyer and SMOOTH. The remaining \$7.689 million in funds were distributed by formula to projects nominated by COLT, Santa Barbara MTD and SMAT.

FTA released final ARRA (stimulus) Transit Apportionments on March 5th using distribution formulas for the existing FTA 5307 Urbanized and FTA 5311 Non-Urbanized formula programs. The final apportionments for Santa Barbara County total \$8.485 million, compared to the estimate of \$8.929 million programmed by the board in February, a difference of \$444,000, or 5% (Note: the original estimate provided by Caltrans was based on the House version of the stimulus package, prior to its enactment). The difference resulted from decreases in the FTA 5307 Stimulus Formula apportionments. The decrease affected the funds that were distributed to COLT, SBMTD and SMAT. All three agencies were notified of the reduced allocations and projects were modified accordingly as determined by the agencies.

Subsequently, the City of Guadalupe determined that only \$400,000 of their total \$500,000 stimulus allocation is necessary for the purchase of their Guadalupe Flyer replacement vehicle. SBCAG staff recommends that this available \$100,000 from the Guadalupe Flyer allocation be redistributed by formula to COLT, SBMTD and SMAT for projects that were previously modified due to the final FTA apportionment reduction. The revised figures for the three operators are reflected in **Attachment A**.

Proposition 1B/Stimulus Funds Exchange

SBCAG has determined that the SMOOTH vehicles that were allocated funds in February are not eligible for Economic Stimulus Funding. SMOOTH intended to purchase four ADA compliant Type III buses. To assist SMOOTH in meeting their transit capital need, the City of Santa Maria has agreed to exchange available State Proposition 1B Transit Capital Funding for SMOOTH's economic stimulus apportionment. The City of Santa Maria will receive an additional \$240,000 in stimulus funding and will provide SMOOTH with the same amount in Proposition 1B Transit Capital funding from their FY 08/09 apportionment. **Attachment B** shows the adjusted Proposition 1B Transit Capital Figures for FY 08/09 for SMAT and SMOOTH. The table also shows apportionments available to the other transit operators for FY 08/09.

Stimulus Application Process

FTA and Caltrans Mass Transit have established that the ARRA Transit Stimulus Funds will be applied for and be subject to the same requirements as included for the existing FTA 5307 and 5311 funding programs.

The FTA 5307 stimulus applicants include COLT, SBMTD and SMAT, while the city of Guadalupe will be submitting an application to Caltrans Mass Transit for the FTA 5311 Stimulus Funds. Applications for the FTA 5307 Stimulus Funding can be submitted at anytime, but must meet the federal deadlines for authorization. The FTA 5311 Applications are due to Caltrans

Mass Transit by April 17th. **Attachment A** provides an update of project funding and identifies whether the project will be funded through the 5307 or 5311 stimulus programs.

Proposition 1B Transit Capital Program

The Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006, approved by voters in California as Proposition 1B on November 7, 2006, includes a program funded with \$3.6 billion for allocation to eligible transit capital projects nominated by an applicant transportation agency.

The State Controller provided each Regional Transportation Planning Agency with an amount of funding for FY 08/09 that will be available in their county for projects. As required by Prop 1B, the amount of funding available for our county is based on the farebox revenue and population formulas used to distribute State Transit Assistance (STA) funds. Sponsors of projects funded through the farebox revenue formula need to submit applications directly to Caltrans and funds will be received from the State Controller's Office (SCO). The only agency that is a sponsor for the population formula funds, SBCAG, is required to adopt a project list for the region and submit it to Caltrans. This staff report therefore addresses recommendations for revenues received from the population-based formula.

For FY 2008/09, Prop 1B transit funds will be allocated during two funding cycles. The first funding cycle was conducted in November 2008 with SBMTD as the only transit operator to submit a request for their apportioned funds. Applications for the second funding cycle have a due date of April 15, 2009.

If SBCAG or a transit provider chooses not to submit a project for funding, they may request their funding share within the next two fiscal years. Allocations for FY 08/09 are available until FY 09/10. Eligible project sponsors not requesting funds in FY 08-09 are strongly encouraged to submit a brief statement regarding the expected request date for their allocated funds.

Santa Barbara County will receive approximately \$1.9 million dollars from the population formula and approximately \$518,000 through the farebox revenue formula for FY 2008/09. Agencies that submit projects for funds from the farebox revenue share will receive their allocation directly from the State Controller's Office. This is currently the same reimbursement process for the farebox revenue funds from the State Transit Assistance (STA) program.

SBCAG will not select projects for the farebox revenue funds from the Prop 1B Transit Capital Program. SBCAG, however, is the designated project sponsor for projects that receive funding from the population-based share of the Prop 1B program. The SBCAG board approved the use of the STA program formula to apportion funds to the four transit service areas in the county based on population. The transit service areas are the South Coast, Lompoc Valley, Santa Maria Valley and Santa Ynez and Cuyama Valleys. Funds are then apportioned to each eligible claimant within each transit service area. Claimants may nominate projects for Prop 1B funding up to their apportioned amount. The SBCAG board adopted apportionments for the four transit service areas in Santa Barbara County for FY 2008/09 are provided in **Attachment B**.

According to the State's guidelines, applications will be accepted for transit capital projects or a minimum operable segment of a project including:

1. Rehabilitation, safety or modernization improvements;
2. Capital service enhancements or expansions;
3. New capital projects;

4. Bus rapid transit improvements; or
5. Rolling stock procurement, rehabilitation or replacement

Projects must be consistent with the sponsor’s most recently adopted short-range transit plan, or other publicly adopted plan that programs or prioritizes funds for transit capital improvements.

Population Funded Applications

SBCAG is the sponsor for the population funds and selects projects submitted by eligible agencies. COLT, Easy Lift, SMAT and SMOOTH are requesting funding in cycle 2 for this fiscal year. MTD requested their allocation in Cycle 1 and Buellton, Guadalupe, Solvang and the County will request their funding in the future. Project information is below with the project application included as **Attachments C, D, E and F**.

Population Funded Projects to be submitted for Funding Cycle Two

Agency	Project	Amount
City of Lompoc Transit	Lompoc Transit Center	\$275,272
Easy Lift Transportation	Vehicle Replacement	\$46,625
Santa Maria Area Transit	Santa Maria Intermodal Center	\$302,203
SMOOTH	Replacement of four (4) paratransit vehicles	\$240,000

SBCAG will be submitting a request to Caltrans to have the FY 08/09 funding for Buellton, Guadalupe, Solvang, and County of Santa Barbara reserved for future funding cycles. SMOOTH will also be requesting their original FY 08/09 allocation in a future funding cycle.

Revenue Share Funded Projects

The FY 2008/09 revenues identified in the **Attachment B** will also be available to Santa Barbara County agencies who currently receive apportionments based STA Farebox Revenue. The revenue apportionments are for information only—no action is required by the SBCAG board.

TTAC Review

At their April 2nd meeting, TTAC recommended the exchange of stimulus and Proposition 1B Transit Capital funds between SMOOTH and SMAT

RECOMMENDATION:

1. Receive an update on federal economic stimulus transit funding
2. Approve the exchange of stimulus and Proposition 1B Transit Capital funds between SMOOTH and SMAT
3. Approve submittal of applications to Caltrans for Proposition 1B transit capital population-based funding for 2008/09 Cycle 2.

ATTACHMENTS:

- Attachment A – Final ARRA Economic Stimulus Funding Table
- Attachment B – Proposition 1B Transit Apportionments for FY 2008/09
- Attachment C – Prop 1B Transit Application for FY 2008/09 – COLT
- Attachment D – Prop 1B Transit Application for FY 2008/09 – Easy Lift

Attachment E – Prop 1B Transit Application for FY 2008/09 – SMAT

Attachment F – Prop 1B Transit Application for FY 2008/09 – SMOOTH

Attachment A
THE AMERICAN RECOVERY and REINVESTMENT ACT of 2009
TRANSIT STIMULUS FUNDING
SBCAG Region Share = \$8.485 M (\$8,205,761 in 5307 and \$279,311 in 5311)
Projects to be included in FTA 5307 Stimulus Applications

Project Title	Project Description	Implementing Agency	As Approved in February	As Presented to Board in March	As Recommended in April
Transit Security Improvements	Purchase of Bus Security Cameras and Bus Stop Arrival Signs	City of Lompoc Transit (COLT)	\$200,000	\$200,000	\$232,068
Vehicle Lifts	Purchase of eight (8) vehicle lifts for installation on existing COLT buses.	City of Lompoc Transit (COLT)	\$108,000	\$0	\$0
Bike Racks	Purchase of six (6) bike racks for installation on new COLT buses.	City of Lompoc Transit (COLT)	\$7,200	\$7,200	\$7,200
Particulate Trap Safety Switches	Purchase of six (6) particulate trap safety switches for existing COLT buses.	City of Lompoc Transit (COLT)	\$6,000	\$6,000	\$6,000
Replacement Bus Purchase	Purchase of four (4) replacement buses for COLT Local Transit Service	City of Lompoc Transit (COLT)	\$460,500	\$460,500	\$472,000
ADA Compliant Van Purchase	Purchase of two (2) ADA Compliant Vans for COLT Local Transit Service	City of Lompoc Transit (COLT)	\$100,000	\$157,100	\$125,000
Replacement Bus Purchase	Purchase one (1) replacement over-the-road commuter coach bus for Clean Air Express regional transit service.	City of Lompoc Transit (COLT)	\$500,000	\$500,000	\$500,000
Replacement Bus Purchase	Purchase two (2) 40' Replacement buses for SMAT Local Services.	Santa Maria Area Transit (SMAT)	\$960,000	\$960,000	\$960,000
Replacement Van Purchase	Purchase five (5) Replacement vans for SMAT Local Services.	Santa Maria Area Transit (SMAT)	\$450,000	\$450,000	\$450,000
Transit Intermodal Center	Construction of the Santa Maria Transit	Santa Maria Area Transit (SMAT)	\$880,400	\$748,152	\$1,017,940
Replacement Bus Purchase	Purchase of four (4) Replacement ADA Compliant Type III Buses. Vehicles will provide curb-to-curb	Santa Maria Organization of Transportation Helpers (SMOOTH)	\$240,000	\$240,000	\$0
Replacement Bus Purchase	Purchase of one (1) 40' ADA Compliant Replacement Bus for Guadalupe Flyer Transit Service	City of Guadalupe - Guadalupe Flyer	\$500,000	\$500,000	\$120,689
Ticket/Pass Vending Machine Purchase	Purchase of automated ticket/pass vending machines for installation at various locations in SBMTD transit service area.	Santa Barbara Metropolitan Transit District (SBMTD)	\$450,000	\$450,000	\$450,000
Service Vehicle Replacement Purchase	Purchase of twelve (12) service vehicles for relief and maintenance.	Santa Barbara Metropolitan Transit District (SBMTD)	\$300,000	\$300,000	\$300,000
Replacement Bus Purchase	Purchase of ten (10) replacement buses for South Coast Transit Services.	Santa Barbara Metropolitan Transit District (SBMTD)	\$3,766,900	\$3,506,120	\$3,564,864
				FTA 5307 Total	\$8,205,761

Project to be included in FTA 5311 Stimulus Application

Project Title	Project Description	Implementing Agency	As Approved in February	As Presented to Board in March	As Recommended in April
Replacement Bus Purchase	Purchase of one (1) 40' ADA Compliant Replacement Bus for Guadalupe Flyer Transit Service	City of Guadalupe - Guadalupe Flyer	\$500,000	\$500,000	\$279,311
				FTA 5311 Total	\$279,311

Attachment B

Public Transportation Modernization, Improvement, and Service Enhancement Account (PTMISEA) Apportionments for FY 2008/09

PTMISEA Population Based Apportionments - PUC 99313	FY 2008/09 Apportionments	Apportionments available for request in Cycle 2	Requesting Funds in Cycle 2?
State Total - PTMISEA Revenue Based on Population	\$ 168,959,034	-	-
SBCAG Total PTMISEA Revenue	\$ 1,920,939	\$ 1,035,067	
South Coast	\$ 932,498	\$ 932,498	-
- SBMTD	\$ 885,872	\$ -	-
- Easy Lift* (5% of the South Coast Total)	\$ 46,625	\$ 46,625	Yes, requesting all remaining funds in Cycle 2.
Lompoc Valley	\$ 275,272	\$ 275,272	-
- Lompoc	\$ 275,272	\$ 275,272	Yes, requesting all remaining funds in Cycle 2.
Santa Maria Valley	\$ 600,052	\$ 600,052	-
- Santa Maria	\$ 542,203	\$ 302,203	Yes, requesting all remaining funds in Cycle 2.
- Guadalupe	\$ 27,847	\$ 27,847	Reserved for Future Cycle
- SMOOTH* (5% of the Santa Maria Valley Total) includes \$240,000 transferred from Santa Maria for Cycle 2.	\$ 30,003	\$ 270,003	Yes, \$240,000 requested in Cycle 2
Santa Ynez & Cuyama Valleys	\$ 113,117	\$ 113,117	-
- Buellton	\$ 21,062	\$ 21,062	Reserved for Future Cycle
- Solvang	\$ 86,009	\$ 86,009	Reserved for Future Cycle
- County	\$ 6,045	\$ 6,045	Reserved for Future Cycle

* Consolidated Transportation Service Agencies receive 5% of the STA funding for the area they serve.

PTMISEA Farebox Revenue Based Apportionments - PUC 99314	FY 2008/09 Apportionments	Apportionments available for request in Cycle 2	Requesting Funds in Cycle 2?
State Total PTMISEA Revenue based on Farebox Revenue	\$ 168,959,034	-	-
SBCAG Total PTMISEA Revenue	\$ 518,483	\$ 51,936	
County	\$ 2,979	\$ 2,979	Reserved for Future Cycle
Lompoc	\$ 7,965	\$ 7,965	Yes, requesting all remaining funds in Cycle 2.
Santa Maria	\$ 38,496	\$ 38,496	Yes, requesting all remaining funds in Cycle 2.
Solvang	\$ 2,496	\$ 2,496	Reserved for Future Cycle
SBMTD	\$ 466,547	\$ 466,547	-

**Public Transportation Modernization, Improvement and
Service Enhancement Program (PTMISEA)
PROJECT DESCRIPTION AND ALLOCATION REQUEST**

	Regional Entity: SBCAG
Project Sponsor*: City of Lompoc Transit	County: Santa Barbara
Project Title: Transit Center	

I certify the scope, cost, schedule, and benefits as identified in the attached Project Description and Allocation Request (Request) and attachments are true and accurate and demonstrate a fully funded operable project. I understand the Request is subject to any additional restrictions, limitations or conditions that may be enacted by the State Legislature, including the State's budgetary process, which may effect the amount of bond proceeds received by the project sponsor now and in the future. Project sponsors may need to consider alternative funding sources if bond proceeds are not available. In the event the project cannot be completed as originally scoped, scheduled and estimated, or the project is terminated prior to completion, project sponsor shall, at its own expense, ensure that the project is in a safe and operable condition for the public. I understand this project will be monitored by the California Department of Transportation -- Division of Mass Transportation.

Name: Richard Fernbaugh

Signature:

Title: Aviation/Transportation Administrator

Agency: City of Lompoc

Date: April 14, 2009

*If this project includes funding from more than one project sponsor, the project sponsor above becomes the "recipient agency" and the additional contributing project sponsor(s) must also sign and state the amount and type of PTMISEA funds (GC Section 8879.55(a)(2) and/or Section 8879.55(a)(3)) contribution. Sign below or **attach a separate officially signed letter providing that information.**

Name: Stephen VanDenburgh

Signature:

Title: Deputy Director

Agency: Santa Barbara County Associaton of Governments

Date: April 14, 2009

Amount: \$283,237

**PTMISEA 2008- 09 PROJECT DESCRIPTION
AND ALLOCATION REQUEST
Project Allocation**

		07/08	08/09
Allocation Amount per GC 8879.55(a)(2)/PUC 99313:	\$	<hr/>	\$275,272
Allocation Amount per GC 8879.55(a)(3)/PUC 99314:	\$	<hr/>	\$7,965
PTMISEA Interest:	\$	<hr/>	\$
Total Project Allocation Request:	\$0	<hr/>	\$283,237
Project Title:	<u>Transit Center</u>		
Project Location:	<u>City of Lompoc</u>		

Table 1: Project Sponsor/Recipient Agency Information

Project Sponsor/ Recipient Agency:	<u>City of Lompoc</u>	<i>Legislative District Numbers</i>	
Contact:	<u>Richard Fernbaugh</u>	Senate:	<u>19</u>
Contact Phone #:	<u>805-875-8268</u>	Assembly:	<u>33</u>
Email Address:	<u>rfernbaugh@ci.lompoc.ca.us</u>	Amount:	Congressional:
Address:	<u>P.O. Box 8001</u>	\$7,965	Type: <u>99314</u>
	<u>Lompoc, CA 93438-8001</u>	\$	<u></u>

Table 2: Contributing PTMISEA-Eligible Project Sponsor Information

PTMISEA Contributors:	<u>Santa Barbara County Assoc. of Governments</u>	Amount :	Type:
Contact:	<u>Stephen VanDenburgh</u>	<u>\$275,272</u>	<u>99313</u>
Contact Phone #:	<u>(805) 961-8900</u>	\$	
Email Address:	<u>svandenburgh@sbcag.org</u>		
Address:	<u>260 N. San Antonio Rd., Ste. B</u>		
	<u>Santa Barbara, CA 93110</u>		
Other PTMISEA Contributors (Attach sheet with contact info)		Amount:	Type:
	<hr/>	\$	
	<hr/>	\$	
	<hr/>	\$	
TOTAL		\$283,237	99314

(*Contributing project sponsors attach signed letters of verification as to amount and eligibility or sign cover page)

Table 3: Project Category

Check **only 1** box that best fits the description of the project being funded.

- | | |
|--|---|
| <input type="checkbox"/> Rehabilitation, Safety or Modernization Improvement | <input type="checkbox"/> Bus Rapid Transit |
| <input type="checkbox"/> Capital Service Enhancement or Expansion | <input type="checkbox"/> Rolling Stock Procurement: |
| <input checked="" type="checkbox"/> New Capital Project | ___ Expansion |
| | ___ Rehabilitation |
| | ___ Replacement |

Table 4: Project Summary

a) Describe the project (or minimum operable segment) for which you are applying for funds, **including the useful life for all projects**. Attach additional sheets if necessary.
 The City of Lompoc is developing a new Transit Center to replace the current transfer facility located in the Mission Plaza Shopping Center parking lot. City of Lompoc Transit (COLT) currently operates 5 fixed-route transit services. The City is also served by the Breeze Bus, Clean Air Express, Chumash Casino Bus, and Amtrak Thruway. The minimum project will construct a 6-bay bus transfer facility to serve as many public transit services as possible. Opportunities for other joint uses and the possibility of parking capacity will be studied during project development and alternative evaluation. The facility will have a minimum 30-year useful life.

b) If the application is for the purchase of vehicles or rolling stock, please include information on size, passenger count, accessibility, **expected useful life** and fuel type:
 Not Applicable

Table 5: Description of Major Benefits/Outcomes (Also complete and submit Attachment A.1)

Write brief summary here:
 Supporting infrastructure for increased transit system capacity by 50%, Improved system-wide on-time performance by 10%, Increased transit ridership by 10%

Measurable Statistical Benefits in Percentages:

Table 6: Project Schedule and Milestones (Also complete and submit Attachment A.2)

	Date
Begin Project Approval & Environmental Document Phase	6/1/2008
End Project Approval & Environmental Document Phase Milestone	5/31/2009
Begin Plans, Specifications & Estimates Phase Milestone	6/1/2009
End Design Phase (Ready to list for Advertisement Milestone)	5/31/2010
Begin Right of Way Phase	10/1/2009
End Right of Way Phase (Right of Way Certification Milestone)	5/31/2010
Begin Construction Phase (Contract Award Milestone)	6/15/2010
End Construction Phase (Contract Acceptance Milestone)	3/31/2011
Begin Vehicle/Equipment Order (Contract Award Milestone)	6/15/2010
End Vehicle/equipment Order (Contract Acceptance Milestone)	3/31/2011
Begin Closeout Phase	4/1/2011
End Closeout Phase	6/30/2011

Table 7: Tax Compliance Information

Is it reasonably anticipated that any money will be derived at any point in the future as a result of the project that will be paid to the State? YES NO

If yes, please describe the source of the money and provide an estimate of the amount: Estimate: \$ _____

- A completed Attachment A.1
- A completed Attachment A.2
- The most recently adopted short-range transit plan, or other publicly adopted plan that programs or prioritizes the expenditure of funds for transit capital improvements which indicates this project is consistent with it.

**Description of Major Benefits and Outcomes
Public Transportation Modernization, Improvement and Service Enhancement Account (PTMISEA)**

PROJECT SPONSORS: Please check the appropriate bond category the funding will be used for and complete the corresponding information for project type, outputs and benefits/outcomes. If you are funding more than one project, complete a separate sheet for each project. Examples are provided. Project Sponsors will use this table to report progress to the Department semi-annually and for annual audits. The information will be reported to the Legislature and the Department of Finance and will be available on the Governor's Bond Accountability Website for the public.

Prop 1B Bond Category (Check Only 1)	Project Description (Example shown)	Outputs (Provide the number of items to be obtained)	Benefits/Outcomes Expected (Provide the expected result of project.) <i>Please choose from attached list</i>
1) Improvements: <input type="checkbox"/> Rehabilitation, or <input type="checkbox"/> Safety, or <input type="checkbox"/> Modernization	Examples: Transit Fleet Rehabilitation or Transit Information Systems	Examples: Total <u>number</u> of vehicles to be rehabilitated/refurbished Total <u>number</u> of AVL or next bus signs to be installed	Examples: Extend bus life by 10 years Increase safety 10% Improve on-time performance 10% Reduce pollution by 15%
	State your project here:	State your output here:	State your expected benefits here:
2) Capital Service: <input type="checkbox"/> Enhancement or <input type="checkbox"/> Expansion	Examples: Install Equipment Add commuter rail lines or bus lines	Examples: Total <u>number</u> AFC to be installed. Total <u>number</u> new commuter lines or bus routes to be installed	Examples: Improve on-time performance 10% # of riders increased by 10% Increase commuter lines 10%
	State your project here:	State your output here:	State your expected benefits here:
3) Capital Projects: <input type="checkbox"/> New	Examples: Commuter lines	Examples: Total <u>number</u> of miles or lines	Examples: Increase lines or routes by 10%
	State your project here: <u>Bus Transfer Facility</u>	State your output here: <u>Transit Center with sufficient capacity to meet 20-year need.</u>	State your expected benefits here: <u>Improved on-time performance by 10%</u> <u>Increased transit ridership by 10 %</u> <u>Supporting infrastructure for increased transit system capacity by 50%</u>
4) BRT: <input type="checkbox"/> Improvements	Examples: Commuter lines or miles Buy buses	Examples: Total <u>number</u> of BRT miles or access ramps to be built Total <u>number</u> of priority signalization equipment to install	Example: # of riders increased by 10%
	State your project here:	State your output here:	State your expected benefits here:
5) Rolling Stock Procurement for: <input type="checkbox"/> Expansion Or <input type="checkbox"/> Replacement Or <input type="checkbox"/> Rehabilitation	Example: Purchase new vehicles	Example: Total <u>number</u> of new vehicles purchased and type	Examples: # of riders increased by 10% Increase life of fleet by 10% Reduce service costs by 10%
	State your project here:	State your output here:	State your expected benefits here:

**Public Transportation Modernization, Improvement, and Service Enhancement Account
Total Project Cost and Funding Plan**

Shaded fields are automatically calculated. Please do not fill these fields.

Proposed Total Project Cost								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Project Total
PA&ED	0	50,000	25,000	0	0	0	0	75,000
PS&E	0	50,000	25,000	0	0	0	0	75,000
R/W	0	200,000	0	0	0	0	0	200,000
CON	0	199,001	233,237	0	0	0	0	432,238
Vehicle Purchase	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0
TOTAL	0	499,001	283,237	0	0	0	0	782,238

Public Transportation Modernization, Improvement, and Service Enhancement Account (PTMISEA)								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Total
E&P (PA&ED)		50,000	25,000					50,000
PS&E		50,000	25,000					50,000
R/W		200,000						200,000
CON		199,001	233,237					199,001
Vehicle Purchase								0
Other								0
TOTAL	0	499,001	283,237	0	0	0	0	499,001

Funding Source: PTMISEA INTEREST								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Total
E&P (PA&ED)								0
PS&E								0
R/W								0
CON								0
Vehicle Purchase								0
Other								0
TOTAL	0	0	0	0	0	0	0	0

Funding Source:								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Total
E&P (PA&ED)								0
PS&E								0
R/W								0
CON								0
Vehicle Purchase								0
Other								0
TOTAL	0	0	0	0	0	0	0	0

Funding Source:								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Total
E&P (PA&ED)								0
PS&E								0
R/W								0
CON								0
Vehicle Purchase								0
Other								0
TOTAL	0	0	0	0	0	0	0	0

Funding Source:								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Total
E&P (PA&ED)								0
PS&E								0
R/W								0
CON								0
Vehicle Purchase								0
Other								0
TOTAL	0	0	0	0	0	0	0	0

**Public Transportation Modernization, Improvement and
Service Enhancement Program (PTMISEA)
PROJECT DESCRIPTION AND ALLOCATION REQUEST**

	Regional Entity: SBCAG
Project Sponsor*: Easy Lift Transportation	County: Santa Barbara
Project Title: Dial-A-Ride /CTSA Services	

I certify the scope, cost, schedule, and benefits as identified in the attached Project Description and Allocation Request (Request) and attachments are true and accurate and demonstrate a fully funded operable project. I understand the Request is subject to any additional restrictions, limitations or conditions that may be enacted by the State Legislature, including the State's budgetary process, which may effect the amount of bond proceeds received by the project sponsor now and in the future. Project sponsors may need to consider alternative funding sources if bond proceeds are not available. In the event the project cannot be completed as originally scoped, scheduled and estimated, or the project is terminated prior to completion, project sponsor shall, at its own expense, ensure that the project is in a safe and operable condition for the public. I understand this project will be monitored by the California Department of Transportation -- Division of Mass Transportation.

Name: Ernesto Paredes

Signature:

Title: Executive Director

Agency: Easy Lift Transportation

Date: 03/09/2009

*If this project includes funding from more than one project sponsor, the project sponsor above becomes the "recipient agency" and the additional contributing project sponsor(s) must also sign and state the amount and type of PTMISEA funds (GC Section 8879.55(a)(2) and/or Section 8879.55(a)(3)) contribution. Sign below or **attach a separate officially signed letter providing that information.**

Name: Stephen VanDenburgh

Signature:

Title: Deputy Director

Agency: Santa Barbara County Association of Governments

Date: April 14, 2009

Amount: \$46,625

**PTMISEA 2008- 09 PROJECT DESCRIPTION
AND ALLOCATION REQUEST
Project Allocation**

		07/08	08/09
Allocation Amount per GC 8879.55(a)(2)/PUC 99313:		_____	\$46,625
Allocation Amount per GC 8879.55(a)(3)/PUC 99314:		\$ _____	\$ _____
PTMISEA Interest:		\$ _____	\$ _____
Total Project Allocation Request:		\$0	\$46,625
Project Title:	<u>Dial-A-Ride Service/CTSA Service</u>		
Project Location:	<u>South Santa Barbara County</u>		

Table 1: Project Sponsor/Recipient Agency Information

Project Sponsor/ Recipient Agency:	<u>Easy Lift Transportation</u>	<i>Legislative District Numbers</i>	
Contact:	<u>Ernesto Paredes</u>	Senate:	<u>19th</u>
Contact Phone #:	<u>805-681-1410</u>	Assembly:	<u>35th</u>
Email Address:	<u>ernesto@easylift.org</u>	Amount:	Congressional: _____
Address:	<u>53 Cass Place, Ste. D</u>	\$ _____	Type: _____
	<u>Goleta, CA 93117</u>	\$ _____	_____

Table 2: Contributing PTMISEA-Eligible Project Sponsor Information

PTMISEA Contributors:	<u>Santa Barbara County Assn. of Govts.</u>	Amount :	Type:
Contact:	<u>Stephen VanDenburgh</u>	<u>\$46,625</u>	<u>99313</u>
Contact Phone #:	<u>805-961-8900</u>	\$ _____	_____
Email Address:	<u>svandenburgh@sbcag.org</u>		
Address:	<u>260 N. San Antonio Rd. Suite B</u>		
	<u>Santa Barbara, CA 93110</u>		
Other PTMISEA Contributors (Attach sheet with contact info)		Amount:	Type:
	_____	\$ _____	_____
	_____	\$ _____	_____
	_____	\$ _____	_____
TOTAL		\$46,625	_____

(*Contributing project sponsors attach signed letters of verification as to amount and eligibility or sign cover page)

Table 3: Project Category

Check **only 1** box that best fits the description of the project being funded.

<input type="checkbox"/> Rehabilitation, Safety or Modernization Improvement	<input type="checkbox"/> Bus Rapid Transit
<input type="checkbox"/> Capital Service Enhancement or Expansion	<input checked="" type="checkbox"/> Rolling Stock Procurement:
<input type="checkbox"/> New Capital Project	___ Expansion
	___ Rehabilitation
	___x Replacement

Table 4: Project Summary

a) Describe the project (or minimum operable segment) for which you are applying for funds, **including the useful life for all projects**. Attach additional sheets if necessary.
Due to formatting difficulties, please see Attachment C for Project Summary.

b) If the application is for the purchase of vehicles or rolling stock, please include information on size, passenger count, accessibility, **expected useful life** and fuel type:
Write here: Due to formatting difficulties, please see Attachment C for Vehicle Specifications.

Table 5: Description of Major Benefits/Outcomes (Also complete and submit Attachment A.1)

Write brief summary here: Due to formatting difficulties, please see Attachment C for Major Benefits/Outcomes.

Measurable Statistical Benefits in Percentages:
Due to formatting difficulties, please see Attachment C for Statistical Benefits in Percentages

Table 6: Project Schedule and Milestones (Also complete and submit Attachment A.2)

	Date
Begin Project Approval & Environmental Document Phase	
End Project Approval & Environmental Document Phase Milestone	
Begin Plans, Specifications & Estimates Phase Milestone	
End Design Phase (Ready to list for Advertisement Milestone)	
Begin Right of Way Phase	
End Right of Way Phase (Right of Way Certification Milestone)	
Begin Construction Phase (Contract Award Milestone)	
End Construction Phase (Contract Acceptance Milestone)	
Begin Vehicle/Equipment Order (Contract Award Milestone)	7/1/2009
End Vehicle/equipment Order (Contract Acceptance Milestone)	7/15/2009
Begin Closeout Phase	
End Closeout Phase	

Table 7: Tax Compliance Information

Is it reasonably anticipated that any money will be derived at any point in the future as a result of the project that will be paid to the State? YES NO

If yes, please describe the source of the money and provide an estimate of the amount: Estimate: \$ _____

- A completed Attachment A.1
- A completed Attachment A.2
- The most recently adopted short-range transit plan, or other publicly adopted plan that programs or prioritizes the expenditure of funds for transit capital improvements which indicates this project is consistent with it.

**Description of Major Benefits and Outcomes
Public Transportation Modernization, Improvement and Service Enhancement Account (PTMISEA)**

PROJECT SPONSORS: Please check the appropriate bond category the funding will be used for and complete the corresponding information for project type, outputs and benefits/outcomes. If you are funding more than one project, complete a separate sheet for each project. Examples are provided. Project Sponsors will use this table to report progress to the Department semi-annually and for annual audits. The information will be reported to the Legislature and the Department of Finance and will be available on the Governor's Bond Accountability Website for the public.

Prop 1B Bond Category (Check Only 1)	Project Description (Example shown)	Outputs (Provide the number of items to be obtained)	Benefits/Outcomes Expected (Provide the expected result of project.) <i>Please choose from attached list</i>
1) Improvements: <input type="checkbox"/> Rehabilitation, or <input type="checkbox"/> Safety, or <input type="checkbox"/> Modernization	Examples: Transit Fleet Rehabilitation or Transit Information Systems	Examples: Total <u>number</u> of vehicles to be rehabilitated/refurbished Total <u>number</u> of AVL or next bus signs to be installed	Examples: Extend bus life by 10 years Increase safety 10% Improve on-time performance 10% Reduce pollution by 15%
	State your project here:	State your output here:	State your expected benefits here:
2) Capital Service: <input type="checkbox"/> Enhancement or <input type="checkbox"/> Expansion	Examples: Install Equipment Add commuter rail lines or bus lines	Examples: Total <u>number</u> AFC to be installed. Total <u>number</u> new commuter lines or bus routes to be installed	Examples: Improve on-time performance 10% # of riders increased by 10% Increase commuter lines 10%
	State your project here:	State your output here:	State your expected benefits here:
3) Capital Projects: <input type="checkbox"/> New	Examples: Commuter lines	Examples: Total <u>number</u> of miles or lines	Examples: Increase lines or routes by 10%
	State your project here:	State your output here:	State your expected benefits here:
4) BRT: <input type="checkbox"/> Improvements	Examples: Commuter lines or miles Buy buses	Examples: Total <u>number</u> of BRT miles or access ramps to be built Total <u>number</u> of priority signalization equipment to install	Example: # of riders increased by 10%
	State your project here:	State your output here:	State your expected benefits here:
5) Rolling Stock Procurement for: <input type="checkbox"/> Expansion Or <input checked="" type="checkbox"/> Replacement Or <input type="checkbox"/> Rehabilitation	Example: Purchase new vehicles State your project here: Purchase new vehicle	Example: Total <u>number</u> of new vehicles purchased and type State your output here: One Dodge Caravan mini-van purchased	Examples: # of riders increased by 10% Increase life of fleet by 10% Reduce service costs by 10% State your expected benefits here: Increase life of fleet by 5% Increase fuel efficiency by 5% Reduce service costs by 5%

**Public Transportation Modernization, Improvement, and Service Enhancement Account
Total Project Cost and Funding Plan**

Shaded fields are automatically calculated. Please do not fill these fields.

Proposed Total Project Cost								Project Total
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	
PA&ED	0	0	0	0	0	0	0	0
PS&E	0	0	0	0	0	0	0	0
R/W	0	0	0	0	0	0	0	0
CON	0	0	0	0	0	0	0	0
Vehicle Purchase	0	0	47,074	0	0	0	0	47,074
Other	0	0	0	0	0	0	0	0
TOTAL	0	0	47,074	0	0	0	0	47,074

Public Transportation Modernization, Improvement, and Service Enhancement Account (PTMISEA)								Total
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	
E&P (PA&ED)								0
PS&E								0
R/W								0
CON								0
Vehicle Purchase			46,625					0
Other								0
TOTAL	0	0	46,625	0	0	0	0	0

Funding Source: PTMISEA INTEREST								Total
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	
E&P (PA&ED)								0
PS&E								0
R/W								0
CON								0
Vehicle Purchase								0
Other								0
TOTAL	0	0	0	0	0	0	0	0

Funding Source:								Total
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	
E&P (PA&ED)								0
PS&E								0
R/W								0
CON								0
Vehicle Purchase			449					449
Other								0
TOTAL	0	0	449	0	0	0	0	449

Funding Source:								Total
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	
E&P (PA&ED)								0
PS&E								0
R/W								0
CON								0
Vehicle Purchase								0
Other								0
TOTAL	0	0	0	0	0	0	0	0

Funding Source:								Total
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	
E&P (PA&ED)								0
PS&E								0
R/W								0
CON								0
Vehicle Purchase								0
Other								0
TOTAL	0	0	0	0	0	0	0	0

**PTMISEA 2008-2009 PROJECT DESCRIPTION & REQUEST
ATTACHMENT C
EASY LIFT TRANSPORTATION**

PROJECT SUMMARY

A) Describe the project (or minimum project segment) for which you are applying for funds, **including the useful life for all projects**. Attach additional sheets if necessary.

Easy Lift Transportation seeks funding for one vehicle for replacement for our Dial-A-Ride service. Due to increased demand for our service, which is the only low cost (\$3.50/ride) paratransit option in south Santa Barbara County, Easy Lift found it crucial to increase its vehicle fleet in 2008. Over the past year, our organization scheduled over 63,000 rides, and has seen a 142% increase in ridership over the . past five years. Since the start of the fiscal year in July 2007, Easy Lift has seen the number of new applicants added each month grow from an average of 30 to 46 people, a 35% increase. The wear and tear on our highly active fleet has created the need for vehicle replacement at a more rapid pace than prior years.

Additionally, when the vehicle will not be in use for the Dial-A-Ride service, it will be utilized for CTSA services, including special charters for other local non-profit organizations to fulfill their own missions. The vehicle will also be registered to be utilized in a state of emergency for the City of Santa Barbara as needed, and will also be available for other south Santa Barbara County emergency situations.

The vehicle has a useful life of five years.

B) If the application is for the purchase of vehicles or rolling stock, please include information on size, passenger count, accessibility, **expected useful life**, and fuel type:

Vehicle size: Dodge Caravan, 4,321 lbs., Height: 68.9", Length: 202" overall exterior
 Passenger count: Two configurations: 1 wheelchair, 1 ambulatory plus driver, 6 ambulatory plus driver
 Accessibility: wheelchair accessible
 Life expectancy: 150,000 miles or 5 years expected useful life
 Fuel type: Unleaded

DESCRIPTION OF MAJOR BENEFITS/OUTCOMES

The immediate major benefit of receiving the requested mini-van would be the continued ability to meet the increased demand for our services in a safe manner. The upgraded air-conditioning in this vehicle addresses passenger medical conditions affected such as multiple sclerosis. The mini-van is much more fuel efficient than the larger vehicles currently in our fleet, and it also rates at a lower auto insurance premium as well. The mini-van will be an available resource for the City of Santa Barbara in a state of emergency, and will aid other local non-profits in their transportation needs.

Measurable Statistical Benefits in Percentages:

Increase life of fleet by 5%, increase fuel efficiency by 5%, reduce service costs by 5%

**Public Transportation Modernization, Improvement and
Service Enhancement Program (PTMISEA)
PROJECT DESCRIPTION AND ALLOCATION REQUEST**

	Regional Entity: SBCAG
Project Sponsor*: City of Santa Maria	County: Santa Barbara
Project Title: Intermodal Transit Center	

I certify the scope, cost, schedule, and benefits as identified in the attached Project Description and Allocation Request (Request) and attachments are true and accurate and demonstrate a fully funded operable project. I understand the Request is subject to any additional restrictions, limitations or conditions that may be enacted by the State Legislature, including the State's budgetary process, which may effect the amount of bond proceeds received by the project sponsor now and in the future. Project sponsors may need to consider alternative funding sources if bond proceeds are not available. In the event the project cannot be completed as originally scoped, scheduled and estimated, or the project is terminated prior to completion, project sponsor shall, at its own expense, ensure that the project is in a safe and operable condition for the public. I understand this project will be monitored by the California Department of Transportation -- Division of Mass Transportation.

Name: Austin O'Dell

Signature:

Title: Transit Services Manager

Agency: City of Santa Maria

Date: March 9, 2009

*If this project includes funding from more than one project sponsor, the project sponsor above becomes the "recipient agency" and the additional contributing project sponsor(s) must also sign and state the amount and type of PTMISEA funds (GC Section 8879.55(a)(2) and/or Section 8879.55(a)(3)) contribution, or attach a separate officially signed letter providing that information. Attach additional pages if necessary.

Name: Stephen VanDenburgh

Signature:

Title: Deputy Director

Agency: SBCAG

Date: 04/14/2009

Amount: \$340,699

PTMISEA 2008- 09 PROJECT DESCRIPTION AND ALLOCATION REQUEST

Date: 03/09/09

Allocation Amount per GC 8879.55(a)(2)/PUC 99313:	\$302,203
Allocation Amount per GC 8879.55(a)(3)/PUC 99314:	\$38,496
Total Allocation Request:	\$340,699

Project Title: Intermodal Transit Center
Project Location: Boone/Miller Street, Santa Maria, CA

Table 1: Project Sponsor/Recipient Agency Information

Project Sponsor/ Recipient Agency: <u>City of Santa Maria</u> Contact: <u>Austin O'Dell</u> Contact Phone #: <u>805-925-0951 x480</u> Email Address: <u>aodell@ci.santa-maria.ca.us</u> Address: <u>110 S. Pine Street, Santa Maria, CA</u> <u>93458</u>	<i>Legislative District Numbers</i> Senate: <u>Maldonado</u> Assembly: <u>Blakesley</u> Amount : <u>\$38,496</u> Type: <u>99314</u>
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Table 2: Contributing PTMISEA-Eligible Project Sponsor Information

PTMISEA Contributors: <u>Santa Barbara County Assn. Of Govts.</u> Contact: <u>Stephen VanDenburgh</u> Contact Phone #: <u>805-961-8900</u> Email Address: <u>svandenburgh@sbcag.org</u> Address: <u>260 N. San Antonio Rd. Suite B</u> <u>Santa Barbara, CA 93110</u>	Amount : <u>\$302,203</u> Type: <u>99313</u>
Other Contributing Sponsors: Sponsors (Attach sheet with contact info) _____ _____ _____ Total:	Amount: \$ _____ \$ _____ \$ _____ \$340,699

(*Contributing project sponsors attach signed letters of verification as to amount and eligibility or sign cover page)

Table 3: Project Category

Check **only 1** box that best fits the description of the project being funded.

- | | |
|--|---|
| <input type="checkbox"/> Rehabilitation, Safety or Modernization Improvement
<input checked="" type="checkbox"/> Capital Service Enhancement or Expansion
<input type="checkbox"/> New Capital Project | <input type="checkbox"/> Bus Rapid Transit
<input type="checkbox"/> Rolling Stock Procurement:
___ Rehabilitation or
___ Replacement |
|--|---|

Table 4: Project Summary

a) Describe the project (or minimum operable segment) for which you are applying for funds, including the useful life of the project. Attach additional sheets if necessary.
Write here: In addition to ATTACHMENT 1, funds will be used for the design and construction phase of the project. The design phase is currently underway. The expects to be in the position for construction by Fall 2009. The useful life of the project is 30 years.

b) If the application is for the purchase of vehicles or rolling stock, please include information on size, passenger count, accessibility, **expected useful life** and fuel type:
Write here: NOT APPLICABLE

Table 5: Description of Major Benefits/Outcomes (Also complete and submit Attachment A.1)

Write brief summary here: The benefits to this project are many. The first benefit is safety. The transit hub accomodates 6 buses for intermodal, intercity, and local public transportation services. However, nine buses use the hub to facilitate transfers. Buses stack into the street and block driveways to serve the City's million passengers a year. The second benefit is that the transit center include the necessary amenities to serve the City's passengers and to attract new riders. Please refer Attachment 1 for the amenities. The third benefit is to support future service expansions and more frequent service. Service demand is growing, as evidenced by current ridership growth trends, but expansion is impossible because there is no room to accommodate additional vehicles at the current transit hub. Refer to Attachment 3.

Table 6: Project Schedule and Milestones (Also complete and submit Attachment A.2)

	Date
Project Study Report Approved	Jul-02
Begin Environmental (PA&ED) Phase	Apr-02
Circulate Draft Environmental Document	Jan-04
Draft Project Report	
End Environmental Phase (PA&ED Milestone)	Jan-04
Begin Design Phase (PS&E Milestone)	Jul-01
End Design Phase (Ready to list for Advertisement Milestone)	Mar-08
Begin Right of Way Phase	Nov-04
End Right of Way Phase (Right of Way Certification Milestone)	Mar-08
Begin Construction Phase (includes vehicle/equipment order) (Contract Award Milestone)	Jul-09
End Construction Phase (includes vehicle/equipment acceptance) (Contract Acceptance Milestone)	Sep-09
Begin Closeout Phase	Oct-09
End Closeout Phase	Nov-09

Table 7: Tax Compliance Information

Is it reasonably anticipated that any money will be derived at any point in the future as a result of the project that will be paid to the State?

YES
 NO

If yes, please describe the source of the money and provide an estimate of the amount: Estimate: \$ _____

A completed Attachment A.1

A completed Attachment A.2

The most recently adopted short-range transit plan, or other publicly adopted plan that programs or prioritizes the expenditure of funds for transit capital improvements which indicates this project is consistent with it.

**Description of Major Benefits and Outcomes
Public Transportation Modernization, Improvement and Service Enhancement Account (PTMISEA)**

PROJECT SPONSORS: Please check the appropriate bond category the funding will be used for and complete the corresponding information for project type, outputs and benefits/outcomes. If you are funding more than one project, complete a separate sheet for each project. Examples are provided. Project Sponsors will use this table to report progress to the Department semi-annually and for annual audits. The information will be reported to the Legislature and the Department of Finance and will be available on the Governor's Bond Accountability Website for the public.

Prop 1B Bond Category (Check Only 1)	Project Type (Example shown)	Outputs (Provide the number of items to be obtained)	Benefits/Outcomes Expected (Provide the expected result of project.)	Outcome Progress Report (Due 6 & 12 months after allocation)	Financial Progress Report (Due 6 & 12 months after allocation)
1) Improvements: <input type="checkbox"/> Rehabilitation, or <input type="checkbox"/> Safety, or <input type="checkbox"/> Modernization	State your project here: <hr/> Examples: Transit Fleet Rehabilitation or Transit Information Systems	State your project here: <hr/> Examples: Total <u>number</u> of vehicles to be rehabilitated/refurbished Total <u>number</u> of AVL or next bus signs to be installed	State expected benefit/outcome here: <hr/> Examples: Extend bus life by 10 years Increase safety 10% Improve on-time performance 10%		
2) Capital Service: <input type="checkbox"/> Enhancement or <input type="checkbox"/> Expansion	State your project here: <hr/> Examples: Install Equipment Add commuter rail lines or bus lines	State your output here: <hr/> Examples: Total <u>number</u> AFC to be installed. Total <u>number</u> new commuter lines or bus routes to be installed	State your expected benefit here: <hr/> Examples: Improve on-time performance 10% # of riders increased by 100 Increase commuter lines 10%		
3) Capital Projects: <input checked="" type="checkbox"/> New	State your project here: <u>Intermodal Transit Center</u> <hr/> Examples: Commuter lines Buy buses	State your output here: <u>Increase bus capacity</u> <hr/> Examples: Total <u>number</u> of miles or lines or buses	State your expected benefit here: <u>Increase bus capacity by 30%</u> <u>See Attachment 3</u> <hr/> Examples: # of riders increased by 200 Increase lines or routes by 10%		

**Description of Major Benefits and Outcomes
Public Transportation Modernization, Improvement and Service Enhancement Account (PTMISEA)**

PROJECT SPONSORS: Please check the appropriate bond category the funding will be used for and complete the corresponding information for project type, outputs and benefits/outcomes. If you are funding more than one project, complete a separate sheet for each project. Examples are provided. Project Sponsors will use this table to report progress to the Department semi-annually and for annual audits. The information will be reported to the Legislature and the Department of Finance and will be available on the Governor's Bond Accountability Website for the public.

Prop 1B Bond Category (Check Only 1)	Project Type (Example shown)	Outputs (Provide the <u>number</u> of items to be obtained)	Benefits/Outcomes Expected (Provide the expected result of project.)	Outcome Progress Report (Due 6 & 12 months after allocation)	Financial Progress Report (Due 6 & 12 months after allocation)
4) BRT: <input type="checkbox"/> Improvements	State your project here: _____ <hr/> Examples: Commuter lines or miles Buy buses	State your output here: _____ <hr/> Examples: Total <u>number</u> of BRT miles or access ramps to be built Total <u>number of priority</u> signalization equipment to install	State your expected benefit here: _____ <hr/> Example: # of riders increased by 200		
5) Rolling Stock Procurement for: <input type="checkbox"/> Expansion Or <input type="checkbox"/> Replacement Or <input type="checkbox"/> Rehabilitation	State your project here: _____ <hr/> Example: Purchase new vehicles	State your output here: _____ <hr/> Example: Total <u>number</u> of new vehicles purchased and type	State your expected benefit here: _____ <hr/> Examples: # of riders increased by 200 Increase life of fleet by _____% Reduce service costs by _____%		

**Public Transportation Modernization, Improvement, and Service Enhancement Account
Total Project Cost and Funding Plan**

Shaded fields are automatically calculated. Please do not fill these fields.

Proposed Total Project Cost								Project Total
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	
PA&ED	0	0	0	0	0	0	0	0
PS&E	395,000	100,000	244,704	0	0	0	0	739,704
R/W	0	3,500,000	0	0	0	0	0	3,500,000
CON	133,000	2,156,204	1,251,659	1,492,940	0	0	0	5,033,803
Vehicle Purchase	0	0	0	0	0	0	0	0
TOTAL	528,000	5,756,204	1,496,363	1,492,940	0	0	0	9,273,507

Public Transportation Modernization, Improvement, and Service Enhancement Account (PTMISEA)								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Total
E&P (PA&ED)								0
PS&E		100,000						100,000
R/W								0
CON		932,459	340,699					932,459
Vehicle Purchase								0
TOTAL	0	1,032,459	340,699	0	0	0	0	1,032,459

Funding Source: TDA-State Transit Assistance								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Total
E&P (PA&ED)								0
PS&E								0
R/W								0
CON		473,745	0					473,745
Vehicle Purchase								0
TOTAL	0	473,745	0	0	0	0	0	473,745

Funding Source: TDA-Local Transportation Assistance								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Total
E&P (PA&ED)								0
PS&E	395,000		244,704					639,704
R/W		3,500,000						3,500,000
CON			410,960					410,960
Vehicle Purchase								0
TOTAL	395,000	3,500,000	655,664	0	0	0	0	4,550,664

Funding Source: FTA Section 5307								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Total
E&P (PA&ED)								0
PS&E								0
R/W								0
CON	133,000	750,000						883,000
Vehicle Purchase								0
TOTAL	133,000	750,000	0	0	0	0	0	883,000

Shaded fields are automatically calculated. Please do not fill these fields.

Public Transportation Modernization, Improvement, and Service Enhancement Account
Total Project Cost and Funding Plan

Shaded fields are automatically calculated. Please do not fill these fields.

Funding Source: ARRA								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Total
E&P (PA&ED)								0
PS&E								0
R/W								0
CON				1,017,940				1,017,940
Vehicle Purchase								0
TOTAL	0	0	0	1,017,940	0	0	0	1,017,940

Funding Source: 5309 Bus Earmark								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Total
E&P (PA&ED)								0
PS&E								0
R/W								0
CON			500,000	475,000				975,000
Vehicle Purchase								0
TOTAL	0	0	500,000	475,000	0	0	0	975,000

Funding Source:								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Total
E&P (PA&ED)								0
PS&E								0
R/W								0
CON								0
Vehicle Purchase								0
TOTAL	0	0	0	0	0	0	0	0

Funding Source:								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Total
E&P (PA&ED)								0
PS&E								0
R/W								0
CON								0
Vehicle Purchase								0
TOTAL	0	0	0	0	0	0	0	0

Shaded fields are automatically calculated. Please do not fill these fields.

**Public Transportation Modernization, Improvement and
Service Enhancement Program (PTMISEA)
PROJECT DESCRIPTION AND ALLOCATION REQUEST**

	Regional Entity: SBCAG
Project Sponsor*: SMOOTH, Inc.	County: Santa Barbara County
Project Title: Bus Purchase	

I certify the scope, cost, schedule, and benefits as identified in the attached Project Description and Allocation Request (Request) and attachments are true and accurate and demonstrate a fully funded operable project. I understand the Request is subject to any additional restrictions, limitations or conditions that may be enacted by the State Legislature, including the State's budgetary process, which may effect the amount of bond proceeds received by the project sponsor now and in the future. Project sponsors may need to consider alternative funding sources if bond proceeds are not available. In the event the project cannot be completed as originally scoped, scheduled and estimated, or the project is terminated prior to completion, project sponsor shall, at its own expense, ensure that the project is in a safe and operable condition for the public. I understand this project will be monitored by the California Department of Transportation -- Division of Mass Transportation.

Name: Jim Talbott

Signature:

Title: Executive Director

Agency: SMOOTH, Inc.

Date: 3/30/2009

*If this project includes funding from more than one project sponsor, the project sponsor above becomes the "recipient agency" and the additional contributing project sponsor(s) must also sign and state the amount and type of PTMISEA funds (GC Section 8879.55(a)(2) and/or Section 8879.55(a)(3)) contribution. Sign below or **attach a separate officially signed letter providing that information.**

Name: Stephen VanDenburgh

Signature:

Title: Deputy Director

Agency: Santa Barbara County Association of Governments

Date: April 14, 2009

Amount: \$240,000

**PTMISEA 2008- 09 PROJECT DESCRIPTION
AND ALLOCATION REQUEST
Project Allocation**

		07/08	08/09
Allocation Amount per GC 8879.55(a)(2)/PUC 99313:	\$	_____	\$240,000
Allocation Amount per GC 8879.55(a)(3)/PUC 99314:	\$	_____	\$ _____
PTMISEA Interest:	\$	_____	_____
Total Project Allocation Request:	\$0	_____	\$240,000
Project Title:	<u>Bus Purchase</u>		
Project Location:	<u>Santa Maria, Santa Barbara County, Ca.</u>		

Table 1: Project Sponsor/Recipient Agency Information

Project Sponsor/ Recipient Agency: <u>SMOOTH, Inc.</u>	<i>Legislative District Numbers</i>	
Contact: <u>Jim Talbott, Executive Director</u>	Senate: <u>15 & 19</u>	
Contact Phone #: <u>(805) 922-8476</u>	Assembly: <u>33</u>	
Email Address: <u>smoothinc @hotmail.com</u>	Congressional: <u>23</u>	
Address: <u>240 East Roemer Way</u>	Amount: <u>\$0</u>	Type: <u>PTMISEA</u>
<u>Santa Maria, CA 93454</u>	\$ _____	_____

Table 2: Contributing PTMISEA-Eligible Project Sponsor Information

PTMISEA Contributors: <u>Santa Barbara County Assn. of Govts.</u>	Amount :	Type:
Contact: <u>Stephen VanDenburgh</u>	<u>\$240,000</u>	<u>99313</u>
Contact Phone #: <u>805-961-8900</u>	\$ _____	_____
Email Address: <u>svadenburgh@sbcag.org</u>		
Address: <u>260 N. San Antonio Rd. Suite B</u>		
<u>Santa Barbara, CA 93110</u>		
Other PTMISEA Contributors (Attach sheet with contact info)	Amount:	Type:
_____	\$ _____	_____
_____	\$ _____	_____
_____	\$ _____	_____
TOTAL	\$240,000	_____

(*Contributing project sponsors attach signed letters of verification as to amount and eligibility or sign cover page)

Table 3: Project Category

Check **only 1** box that best fits the description of the project being funded.

<input type="checkbox"/> Rehabilitation, Safety or Modernization Improvement	<input type="checkbox"/> Bus Rapid Transit
<input type="checkbox"/> Capital Service Enhancement or Expansion	<input checked="" type="checkbox"/> Rolling Stock Procurement: ___ Expansion ___ Rehabilitation <u>X</u> Replacement
<input type="checkbox"/> New Capital Project	

Table 4: Project Summary

a) Describe the project (or minimum operable segment) for which you are applying for funds, including the useful life for all projects. Attach additional sheets if necessary.
Write here: **The project will purchase Four (4) paratransit style buses which are facing mandated replacement by the California Air Resources Board (CARB). All are of an age (2000 & 2002 models) beyond what is allowed for exhaust retrofit. The new vehicles will protect the environment and public health (eliminating the diesel engines), reduce congestion, and provide alternative mobility and access to our community.**

b) If the application is for the purchase of vehicles or rolling stock, please include information on size, passenger count, accessibility, **expected useful life** and fuel type:
Write here: **All four of the vehicles are 2009 Ford E-350, unleaded fuel, 20 ambulatory passenger, 4 wheelchair capacity, wheelchair lift equipped, ADA accesible paratransit style buses. Each will have a useful life of between 7-10 years.**

Table 5: Description of Major Benefits/Outcomes (Also complete and submit Attachment A.1)

Write brief summary here: **The vehicles to be replaced are all of an age beyond what is allowed by CARB for exhaust system retrofit and are mandated for replacement. The vehicles represent 29% of the SMOOTH fleet & service capacity. Major benefit: The replacement vehicles will achieve CARB compliance, maintain SMOOTH's fleet & service capacity, help the environment, reduce congestion, and provide alternative mobility for seniors, disabled, and disadvantaged residents.**

Measurable Statistical Benefits in Percentages: **The vehicles will achieve CARB emissions standards for 29% of the SMOOTH fleet. (According to the CARB Bus Fleet Calculator, the diesel engines produce a Particualte Matter (PM) Factor of 0.43 and Nox Factor of 14.2. This grant will result in reducing both of these Factors into CARB compliance)**

Table 6: Project Schedule and Milestones (Also complete and submit Attachment A.2)

	Date
Begin Project Approval & Environmental Document Phase	N/A
End Project Approval & Environmental Document Phase Milestone	N/A
Begin Plans, Specifications & Estimates Phase Milestone	N/A
End Design Phase (Ready to list for Advertisement Milestone)	N/A
Begin Right of Way Phase	N/A
End Right of Way Phase (Right of Way Certification Milestone)	N/A
Begin Construction Phase (Contract Award Milestone)	N/A
End Construction Phase (Contract Acceptance Milestone)	N/A
Begin Vehicle/Equipment Order (Contract Award Milestone)	*Thru State Procurement Process
End Vehicle/equipment Order (Contract Acceptance Milestone)	Per State-Prop 1B Order + 90 Days
Begin Closeout Phase	Delivery + 2 Weeks
End Closeout Phase	+ 1 Week

Table 7: Tax Compliance Information

Is it reasonably anticipated that any money will be derived at any point in the future as a result of the project that will be paid to the State? YES NO

If yes, please describe the source of the money and provide an estimate of the amount: Estimate: \$ _____

A completed Attachment A.1

A completed Attachment A.2

The most recently adopted short-range transit plan, or other publicly adopted plan that programs or prioritizes the expenditure of funds for transit capital improvements which indicates this project is consistent with it.

**Description of Major Benefits and Outcomes
Public Transportation Modernization, Improvement and Service Enhancement Account (PTMISEA)**

PROJECT SPONSORS: Please check the appropriate bond category the funding will be used for and complete the corresponding information for project type, outputs and benefits/outcomes. If you are funding more than one project, complete a separate sheet for each project. Examples are provided. Project Sponsors will use this table to report progress to the Department semi-annually and for annual audits. The information will be reported to the Legislature and the Department of Finance and will be available on the Governor's Bond Accountability Website for the public.

Prop 1B Bond Category (Check Only 1)	Project Description (Example shown)	Outputs (Provide the number of items to be obtained)	Benefits/Outcomes Expected (Provide the expected result of project.) Please choose from attached list
1) Improvements: <input type="checkbox"/> Rehabilitation, or <input type="checkbox"/> Safety, or <input type="checkbox"/> Modernization	Examples: Transit Fleet Rehabilitation or Transit Information Systems	Examples: Total <u>number</u> of vehicles to be rehabilitated/refurbished Total <u>number</u> of AVL or next bus signs to be installed	Examples: Extend bus life by 10 years Increase safety 10% Improve on-time performance 10% Reduce pollution by 15%
	State your project here:	State your output here:	State your expected benefits here:
2) Capital Service: <input type="checkbox"/> Enhancement or <input type="checkbox"/> Expansion	Examples: Install Equipment Add commuter rail lines or bus lines	Examples: Total <u>number</u> AFC to be installed. Total <u>number</u> new commuter lines or bus routes to be installed	Examples: Improve on-time performance 10% # of riders increased by 10% Increase commuter lines 10%
	State your project here:	State your output here:	State your expected benefits here:
3) Capital Projects: <input type="checkbox"/> New	Examples: Commuter lines	Examples: Total <u>number</u> of miles or lines	Examples: Increase lines or routes by 10%
	State your project here:	State your output here:	State your expected benefits here:
4) BRT: <input type="checkbox"/> Improvements	Examples: Commuter lines or miles Buy buses	Examples: Total <u>number</u> of BRT miles or access ramps to be built Total <u>number</u> of priority signalization equipment to install	Example: # of riders increased by 10%
	State your project here:	State your output here:	State your expected benefits here:
5) Rolling Stock Procurement for: <input type="checkbox"/> Expansion Or <input checked="" type="checkbox"/> Replacement Or <input type="checkbox"/> Rehabilitation	Example: Purchase new vehicles	Example: Total <u>number</u> of new vehicles purchased and type	Examples: # of riders increased by 10% Increase life of fleet by 10% Reduce service costs by 10%
	State your project here: Purchase new vehicles	State your output here: Four (4) New 20 Passenger, E-350 unleaded fuel vehicles purchased	State your expected benefits here: 1) Achieve CARB emission standard for 29% of fleet.

Choose an output that best describes your project and include in Table 5 of Attachment A, along with the Outcome / Benefit stated as a percent.

Outcomes / Benefits				
	Increased Ridership	Reduced Operating / Maintenance Cost	Reduced Emissions	Increased System Reliability
Outputs	Increase in Rail Transit Round Trips	Increase Fleet Life	Increase Fuel Efficiency	Increase Vehicle Reliability
	Average Daily Rides	Reduce Vehicle Miles	Construct CNG / LNG Fueling station	Service Facility Expansion
	Increased Capacity	Enhanced Maintenance Capacity	Purchase CNG / LNG vehicles	Improved System Performance
	Accommodate Growth	Increased Efficiency / Reduced Costs		On-Time Performance Increase
	Increase Mobility	Extend Vehicle Life		
	Reduce Congestion	Maintenance / Storage Facility Construction / Expansion		
	Transit Center Construction / Expansion	Decrease Fueling Time		
	Park & Ride Spaces			
	Security Enhancement			
	ADA Requirement			
	Safety Improvement			

Definitions:

Outputs are the tangible products from a project.
Outcomes / Benefits are the result of the Output.

Examples:

Project Description - Pave and restripe 40 Park & Spaces.

Output - Park & Ride Spaces

Benefit - Increase Ridership by 5%

Project Description - Rehabilitate 50 Buses.

Output - Increase fleet life

Benefit - Reduce Operating / Maintenance Cost by 10%

Project Description - Replace 10 Diesel buses with new CNG models

Output - Purchase CNG vehicles

Benefit - Reduce Emissions by 20%

Project Description - Purchase and Install AVL in bus fleet

Output - On-Time Performance Increase

Benefit - Increased System Reliability by 10%

note:

Percents provided are for example purposes only.

**Public Transportation Modernization, Improvement, and Service Enhancement Account
Total Project Cost and Funding Plan**

Shaded fields are automatically calculated. Please do not fill these fields.

Proposed Total Project Cost								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Project Total
PA&ED	0	0	0	0	0	0	0	0
PS&E	0	0	0	0	0	0	0	0
R/W	0	0	0	0	0	0	0	0
CON	0	0	0	0	0	0	0	0
Vehicle Purchase	0	0	240,000	0	0	0	0	240,000
Other	0	0	0	0	0	0	0	0
TOTAL	0	0	240,000	0	0	0	0	240,000

Public Transportation Modernization, Improvement, and Service Enhancement Account (PTMISEA)								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Total
E&P (PA&ED)								0
PS&E								0
R/W								0
CON								0
Vehicle Purchase			240,000					0
Other								0
TOTAL	0	0	240,000	0	0	0	0	0

Funding Source: PTMISEA INTEREST								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Total
E&P (PA&ED)								0
PS&E								0
R/W								0
CON								0
Vehicle Purchase								0
Other								0
TOTAL	0	0	0	0	0	0	0	0

Funding Source:								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Total
E&P (PA&ED)								0
PS&E								0
R/W								0
CON								0
Vehicle Purchase								0
Other								0
TOTAL	0	0	0	0	0	0	0	0

Funding Source:								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Total
E&P (PA&ED)								0
PS&E								0
R/W								0
CON								0
Vehicle Purchase								0
Other								0
TOTAL	0	0	0	0	0	0	0	0

Funding Source:								
Component	Prior	07/08	08/09	09/10	10/11	11/12	12/13	Total
E&P (PA&ED)								0
PS&E								0
R/W								0
CON								0
Vehicle Purchase								0
Other								0
TOTAL	0	0	0	0	0	0	0	0